

On the prehistory of Old Engl. *eom* vs. *bēo(m)* ‘be’

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The issues

- Old English (**OE**): two present-tense paradigms for ‘be’ – one from PIE **h₁es-* ‘be’ with unmarked function (**E**), the other reflecting **bhuH-* ‘be(come)’ with habitual or future function (**B**)
- Continental West Germanic (**CWG**): The two verbs appear to be merged into a single paradigm
- A similar distinction in (Old and) Middle Welsh and the other (Insular) Celtic languages

Illustration 1

	OLD ENGLISH		OLD SAXON	OLD HIGH GERMAN
	E-forms	B-forms		
1SG	eom/(e)am	bēo(m)/bīo(m)	bium	bim
2SG	eart	bis(t)	bis(t)	bis(t)
3SG	is	biþ	is(t)	ist
1PL {				birum
2PL {	sind(-on)/(earon)	bēoþ/bi(o)ðun	sind(-un)	birut
3PL {				sint(-un)

Illustration 2

	MIDDLE WELSH		OLD IRISH	
1SG	wyf/oef	byddaf	am	bíuu/-bíu
2SG	wyt	byddy	at	-bí
3SG	yw/oes/ys	bydd [bið]	is	bíid/-bí
1PL	ym	byddwn	ammi	bímmi/-biam
2PL	ywch	byddwch	adib	---
3PL	ynt	byddan(t)	it	bíit/-bíat

“Anglocentric” position (e.g. Lutz 2009, Wischer 2010; see also Keller 1925, Tolkien 1955, Trudgill 2011) –

- The OE double paradigm reflects Welsh substratum
- ... under extremely unequal social conditions
- The single CWG paradigm is original
- No account for derivation of OE double paradigm from earlier single paradigm
- No explanation for the single paradigm of CWG

Schumacher (2007) –

- The double paradigm is Common West Germanic
- Continental Celtic (Gaulish) influence
- CWG merged the two paradigms
- OE preserved the double paradigm because of Welsh contact
- Proto-Celtic function: “Habitual”, plus meaning ‘become’
- Middle Welsh Future is secondary.

Lutz (2009) – Schumacher’s proposed Continental West Germanic paradigm merger is highly unlikely

This paper

- Evaluate the different proposals
- Show that a (modified) version Schumacher’s 2007 account can be sustained
 1. Some form of the double paradigm was shared by West Germanic, **Celtic, and Latin/Italic**
 2. Original meaning: ‘become, happen, come about’
Original function: perfective
Habitual and future functions are language-specific or regional innovations
 3. Similarities between Welsh and English reflect contact, which may have been bidirectional (DNA evidence, Schiffels et al. 2022).

Illustration 3: The E-paradigm (square bracketed forms are innovated)

	PIE	pre-Gmc	PGmc.	Goth.	Old Norse	Old English
1SG	*h ₁ ésmi	*ésmi	*imm	im	em	eom/eam
2SG	*h ₁ ési	*ési	*is	is	es-t → ert	[eart]
3SG	*h ₁ éstí	*éstí	*ist	ist	es → er	is
1PL	*h ₁ smé/ó-[*esmé/ó]	[*izum]	[sijum]	erum		[sind(-on)]
2PL	*h ₁ sté	[*esté]	[*izup]	[sijuþ]	eruþ	[sind(-on)]
3PL	*h ₁ sénti	*senti	*sind	sind	[eru]	sind(-on)

B-paradigm

- Thematic OE, Celtic, Latin forms derivable from **thematic** *bh₁̄-ye/yo- (Lühr 1984, LIV², and Hill 2012 – some disagreements/uncertainties)
- PIE: ***bhuH-** **perfective** vs. imperfective *h₁es- ‘be’

(1) OLD ENGLISH

a. Habitual

*selre bið æghwæm /
 better B.PRS.3SG everyone.DAT.SG
 þæt he his freond wrece
 that he his friend avenge.SUBJV.3SG
 ‘It is better for everyone that he avenge his friend.’ (Beowulf 1384-1385)*

b. Future

*ne bið be wilna gad
 NEG B.PRS.3SG you.SG.DAT desire.GEN.PL dearth.NOM.SG
 ‘There shall be no dearth of desires for you.’ (Beowulf, 661)*

c. Both E and B in Habitual function

*fader urer ðu arð t bist
 father oure you.NOM.SG E.PRS.2SG or B.PRS.2SG
 in heofnum t in heofnas
 in heaven.DAT.PL or in heaven.ACC.PL
 ‘Our father (who) thou art or “be’s” in the heavens.’ (Lindisfarne Gospels, Matth. 6.9)*

(2) OLD AND MIDDLE WELSH (but only Habitual in Breton)

a. Habitual

*bit amlwc marchawc
 B.PRS.3SG visible horseman
 ‘A horseman is (always) visible.’*

b. Future

*ny byd gwaeth it yno nocet y arthur
 NEG B.PRS.3SG worse for you there than to Arthur
 yn y llys
 in the palace
 ‘It won’t be worse for you there than for Arthur at the royal palace.’*

(3) OLD IRISH

Habitual

*ní-bi lesach nach súanach
 NEG.B.PRS.3SG successful any/some lazy
 ‘A lazy person is not successful.’*

Residual meaning ‘become’ of B-paradigm – Old English, Old Irish

(4) *gecwæð þæt þas stanes hlafes bēon t gewærðe
 say.IMPV.2SG that these stones loaves B.SUBJV.PL or become.SUBJV.PL
 ‘... say that these stone should B or ‘become’ stones.’ (Rushworth Gospels, Matth. 4.3)*

- (5) a. *com·bi* *descipul*
 so that-be.PRS.3SG disciple
 ‘so that he becomes a disciple’
 b. *com·bi* *dias mór*
 so that-be.PRS.3SG ear great
 ‘so that it becomes a great ear’

Middle Welsh: Verbal compounds with *bydd-* as second member ‘add the meaning ‘become’ to verbs indicating a state of being’ (Pederson 1913), as in *bwy-byd* ‘I know’, *bwy-byd* ‘knows’.

‘Become’ in Latin/Italic

B-forms are suppletive passive of *faciō* ‘do, make’, but commonly still mean ‘become, come about, happen’

Illustration 4

	Latin E-forms	Latin B-forms
1SG	sum	ſiō
2SG	es	ſis
3SG	est	ſit > ſit
1PL	sumus	ſimus
2PL	estis	ſitis
3PL	sunt	ſiunt

Illustration 5

	Old English	Middle Welsh	Old Breton Cornish	Old Irish	Latin/Italic
‘become’	(+)	(+)	?	(+)	(+)
Habitual	+	+	+	+	-
Future	+	+	-	-	-

English dialectal paradigm merger (counterevidence to Lutz)

Illustration 6 (Darlington 1887, Kruisinga 1904, Lass 1984, Laing 2010)

	Cheshire/ Shropshire	Warwickshire/ Somerset	West Somerset	Early Southern Middle English
1SG	bin	be	bī	AM
2SG	bist	be	(Ā)RT	ART
3SG	IST	IS	Z	IS
PL	bin	be	bi, m	be(o)b

- Similar paradigm merger in certain Italian dialects with HAVE in first and second persons and BE in the third person (or other merger patterns); e.g. D’Alessandro 2017, Baldi & Savoia 2019
- Note also Skt. *pratyañc-/pratīc-*

Illustration 7

	PGmc. E	PGmc. B	Old Saxon	Old High German
1SG	*IMM	*biū	biuM	bIM
2SG	*IS	*bis	bis-t	bIS-t
3SG	*IST	*biþ/ð	IST	IST
1PL	*IZUM	*bi(j)um	{	biRUM
2PL	*IZUP/Ð	*bi(j)uþ/ð	{ SIND(-ON) }	birUT
3PL	*SIND	*bi(j)un	}	SINT(-UN)

W(erðan) in competition with B-paradigm (Diewald & Wischer)

W-paradigm: perfective, tending toward future use

- (6) Greek *ésonται* *gàr hoi ánthrōpoi* *phílautoi*
 be.FUT.3PL for the man.NOM.PL.M self.loving.NOM.PL.M
 Goth. *jah wairþand* *mannans sik* *frijondans*
 & W.PRS.3PL men.NOM.PL refl.ACC loving.NOM.PL.M
 ‘... for/and people will be lovers of themselves.’ (2Tim 3:2A)
- (7) a. *sem þeir eiga eða eigandi verða*
 REL they own.PRS.3PL or owning.N.PL.M W.PRS.3PL
 ‘... which they own or will own.’
 b. *jeg verð búinn* *á morgun*
 I W.PRS.1SG make.ready.PFV.PPL.N.SG.M in the morning
 ‘I will be ready in the morning.’
- (8) Latin *et spiritu sancto replebitur*
 & holy spirit.ABL fill.FUT.PASS.3SG
 OHG *inti heilages geistes uuirdit gifullit*
 & holy spirit.GEN W.PRS.3SG fill.PST.PPL
 OE & *gaaste haleg gefyllid bið*
 & holy spirit.DAT fill.PST.PPL B.PRS.3SG
 ‘... and they will be filled with the Holy Spirit.’
- (9) OHG *Súnter thaz giscrib min wurdit bázira sin,*
 but my writing W.PRS.3SG better be.INF
 búazent síno guáti thio mino missodati.
 atone.PRS.3PL his qualities my mistakes
 ‘But my writing will be better (if) his (the reader’s) qualities atone for
 my mistakes.’ (Otfrid 5.25.45)
- (10) a. *seo eaðær wyrð to miclum sœ*
 that river there W.PRS.3SG to (a) great sea
 ‘The river there becomes a great sea.’
 b. *sume wurdon to wulfan*
 some W.PST.3PL to wolves
 ‘Some became wolves.’

- (11) a. *tacnu wurðap on eow*
 signs W.PRS.3PL on you [PL]
 ‘There will be signs on you.’
 (Lat. *erunt* [FUT] *in te signa*; Deut. 28.46)
- b. *ne wyrð her nan to lafe*
 NEG W.PRS.3SG here no-one to remnant
 ‘Nobody will be left (Exod. 10.26)’

Illustration 8: OE relation between B and W

	B-paradigm	W-paradigm
‘become’	(+)	+
Future	+	(+)

Modals in future function and marginalization of B-paradigm in English

- (10) a. Old High German
- | | | |
|-------------------|---------------|----------------------|
| <i>nu scal</i> | <i>mih</i> | <i>susasat chind</i> |
| now SHALL.PRS.3SG | I.ACC.SG | own child.NOM.SG.N |
| <i>suertu</i> | <i>hauwan</i> | |
| sword.DAT.SG.N | slay.INF | |
- ‘Now (my) own child will slay me.’ (Hildebrand)

b. Old English

- | | | |
|-----------------|----------------|------------------|
| <i>ic wille</i> | <i>wyrcean</i> | <i>min setl</i> |
| I.NOM WILL.1SG | establish.INF | my seat.ACC.SG.N |
- ‘I will establish my throne’ (Ælfred’s transl. of Gregory’s *Cura pastoralis*. Latin original: *ponam* FUT *sedem meam*.)

Illustration 9: Merger as regional result of marginalization

“Type 1” Southern Middle English

	E-paradigm
1SG	AM
2SG	ART
3SG	IS
1/2/3PL	be(o)þ

Modals and/or W-paradigm marginalize B-paradigm in CWG

Illustration 10: Regionally different mergers

	OHG	MIDDLE DUTCH	OLD SAXON	OLD (E) FRIS.	MOD.W FRIS.
1SG	bim	bem/(bim)	biu(m)	bim	bin
2SG	bis(t)	bes(t)/(bis(t))	bis(t)	—	bist
3SG	ist	es/is	is(t)	is	is
1PL	birum	[sijn]	{		
2PL	birut	[sijt]	{ sind(-un)	send	binnə }
3PL	sint(-un)	[sijn]	{		

5. Summary and outlook

- Coexistence of athematic E-paradigm **h₁es-mi* with thematic present B-paradigm **bhīyō* – Regional Indo-European innovation of Latin (Italic), Celtic, and West Germanic. (No clear evidence for the rest of Germanic.)
- The original meaning and function of the B-paradigm – ‘become, come about, happen’, perfective
- (Insular) Celtic innovation – Habitual function of B-paradigm
- Welsh – Future function, a regional innovation, **after Anglo-Saxon arrival** (not found in Breton, which leaves for the continent)
- West Germanic – competition of B-paradigm with pan-Germanic W-paradigm, both perfective and tending toward Future
- For Future there was also competition by Modals
- In CWG, W-paradigm (High German) or Modals prevailed, marginalizing the B-paradigm, which merged with the unmarked E-paradigm in regionally different ways
- Lutz’s argument against this merger cannot be sustained in light of the similar merger in regional post-Old English (see also Italian, Sanskrit)
- In English, the B-paradigm (temporarily) prevailed over the W-paradigm in Future function, competing with Modals
- ... it also acquired a new Habitual function
- Post-Old English: Modals in Future function marginalized the B-paradigm.
- Southern/southwestern varieties merged the B-paradigm into the E-paradigm, in regionally different ways.
- Both Welsh and English developed Habitual **and** Future functions for the B-paradigm, and apparently at the same time (the arrival of the Anglo-Saxons)
 - ... in Middle Welsh the Future function was innovated
 - ... in Old English the Habitual function was innovated
 - Welsh might perhaps have innovated first and Old English acquired both Habitual and Future functions from Welsh
 - Or – Middle Welsh acquired Future function from Old English; Old English acquired Habitual function from Middle Welsh
 - If correct, the latter account has implications for understanding the interaction between Welsh and English during the Old English period – note recent genomic study of Schiffels et al. (2022)
 - At any rate, the Anglocentric proposal is oversimplified and fails to account not only for Old English but also for Continental West Germanic (and perhaps even for Welsh)

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